Knowledge-based Generation of Illustrated Documents [*]

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Abstract

The task of the knowledge-based presentation system WIP is the generation of a variety of multimodal documents from an input consisting of a formal description of the communicative intent of a planned presentation. WIP generates illustrated texts that are customized for the intended audience and situation. We present the architecture of WIP and introduce as its major components the presentation planner, the layout manager, the text generator and the graphics generator. An extended notion of coherence for multimodal documents is introduced that can be used to constrain the presentation planning process. The paper focuses on the coordination of contents planning and layout that is necessary to produce a coherent illustrated text. In particular, we discuss layout revisions after contents planning and the influence of layout constraints on text generation. We show that in WIP the design of a multimodal document is viewed as a non-monotonic planning process that includes various revisions of preliminary results in order to achieve a coherent output with an optimal media mix.

1 Introduction

With increases in the amount and sophistication of information that must be communicated to the users of complex technical systems comes a corresponding need to find new ways to present that information flexibly and efficiently. Intelligent presentation systems are important building blocks for the next generation of user interfaces, because they translate from the narrow output channels provided by most of the current application systems into high-bandwidth communications tailored to the individual user. Since, in many situations, information is only presented efficiently through a particular combination of communication modes, the automatic generation of multimodal presentations is one of the tasks of such presentation systems. Multimodal interfaces combining, e.g., natural language and graphics take advantage of both the individual strength of each communication mode and the fact that several modes can be employed in parallel, e.g., in the text-picture combinations of illustrated documents.

As the title of this paper indicates, it is an important goal of this research not simply to merge the verbalization results of a natural language generator and the visualization results of a knowledge-based graphics generator, but to carefully coordinate graphics and text in such a way that they complement each other (see also [Wahlster et al. 91]).

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[*] An extended version of this paper will appear as *WIP: The Coordinated Generation of Multimodal Presentations from a Common Representation in A.* Ortony, J. Slack, and O. Stock (eds.), *Computational Theories of Communication and their Applications*, Berlin: Springer-Verlag, 1991
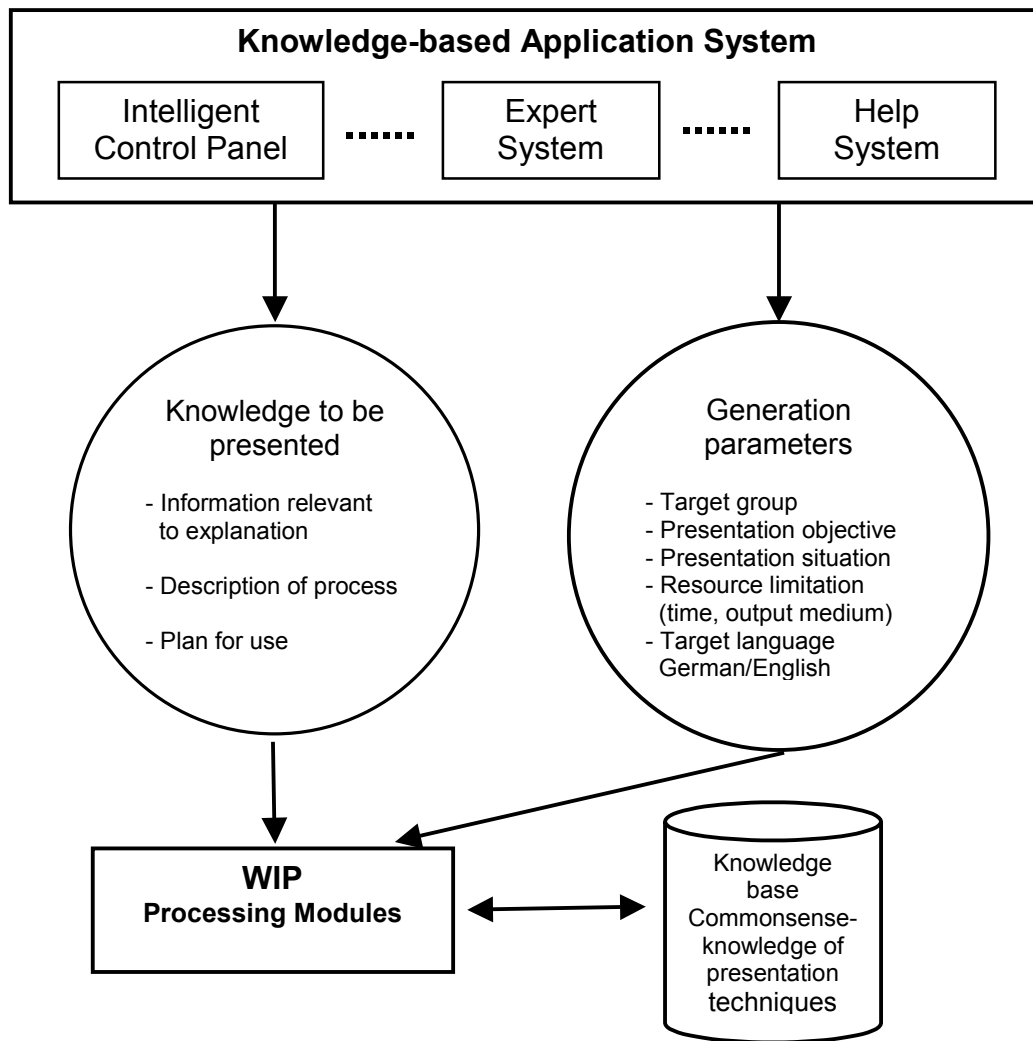
Fig. 1. The Generation Parameters of WIP

1.1 WIP: Knowledge-based Presentation of Information

The task of the knowledge-based presentation system WIP is the generation of a variety of multimodal documents from an input consisting of a formal description of the communicative intent of a planned presentation. The generation process is controlled by a set of generation parameters such as target group, presentation objective, resource limitations, and target language (see Fig. 1).

This means that the same information content can be presented in a variety of ways depending on the value combination of these generation parameters. Although WIP is designed as a transportable interface to various knowledge-based application systems, such as intelligent control panels, expert systems, and help systems, which supply the presentation system with the necessary input (see Fig. 2), currently all input for the development and testing of the system is created manually.

One of the basic principles underlying the WIP project is that the generation of the various constituents of a multimodal presentation should be generated from a common representation. This leads to the question of how to divide a given communicative goal into subgoals to be realized by the various mode-specific generators, so that they complement each other. This means that we have to explore computational models of the cognitive decision processes
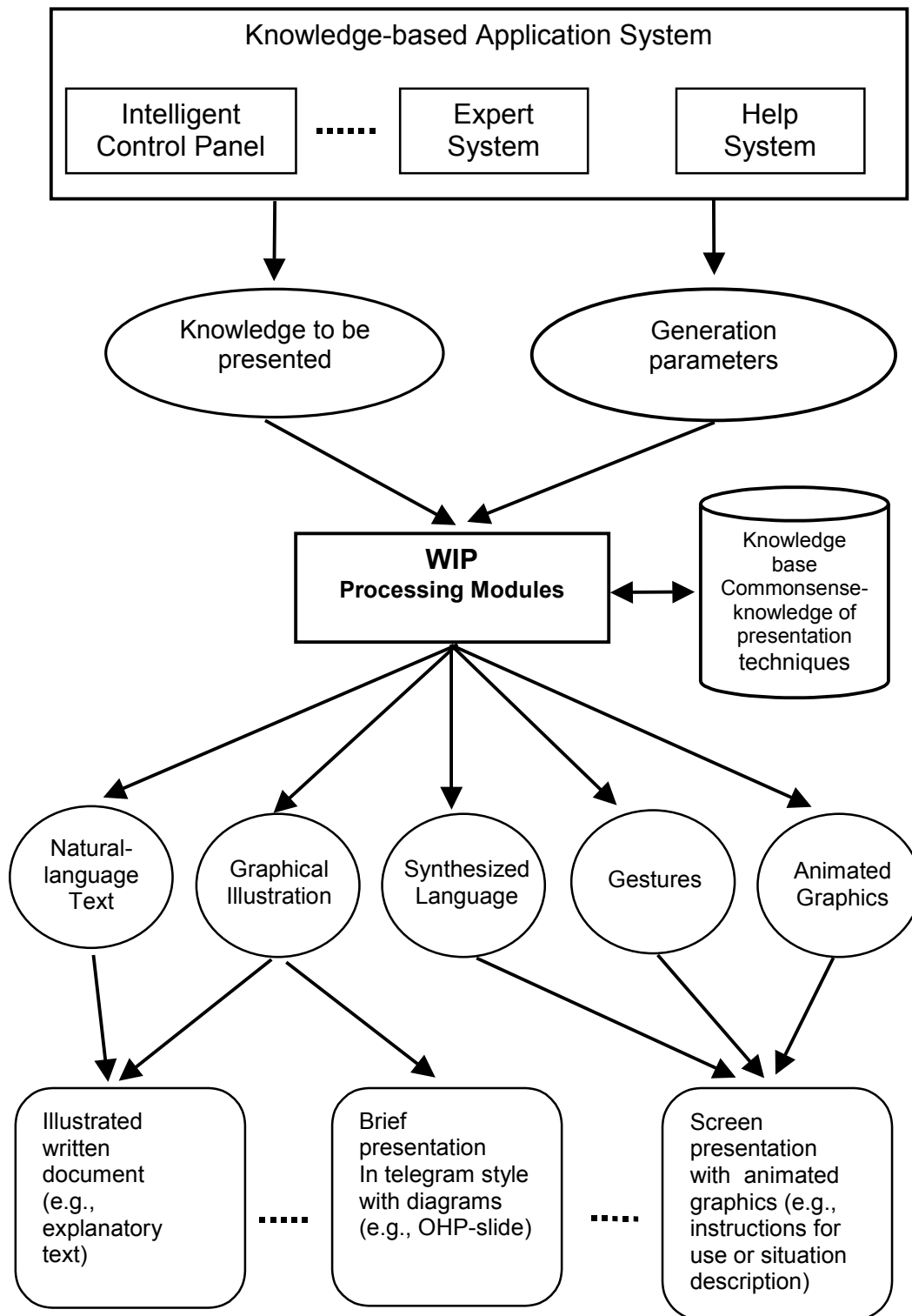
Fig. 2. The Generation of a Variety of Multimodal Presentations

coping with questions such as what should go into text, what should go into graphics, and which kinds of links between the verbal and non-verbal fragments are necessary.

A good example of the use of a WIP system is the generation of user-friendly multimodal instructions for technical devices. As a first domain, we have chosen instructions for the use of espresso-machines. Fig. 3 shows a typical text-picture sequence that may be used to instruct a user in filling the watercontainer of an espresso-machine.
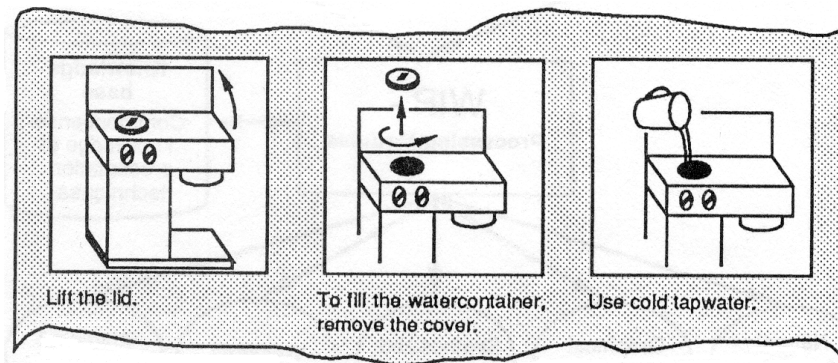
Fig. 3. Multimodal Instructions for the Use of an Espresso-Machine

Currently the technical knowledge to be presented by WIP is encoded in a hybrid knowledge representation language of the KL-ONE family including a terminological and assertional component (see [Nebel 90]). In addition to this propositional representation, which includes the relevant information about the structure, the function, the behavior, and the use of the espresso-machine, WIP has access to an analogical representation of the geometry of the machine in the form of a wire-frame model. This model is used as a basis for the automated design of adequate illustrations.

1.2 Related Research

The automatic design of multimodal presentations has only recently received significant attention in artificial intelligence research. Fig. 4 gives a survey of ongoing projects.

The first group of systems compared in Fig. 4 (XTRA, CUBRICON, ALFresco) consists of multimodal dialog systems with an analysis and generation component. XTRA (cf. [Allgayer et al. 89]) provides multimodal access to an expert system that assists the user in filling out a tax form. CUBRICON (cf. [Neal&Shapiro 88]) is an intelligent interface to a system for mission planning and situation assessment in a tactical air control domain. ALFresco (cf. [Stock 91]) displays short video sequences about Italian frescoes on a touchscreen and answers questions about details of the videos. In contrast to the first three systems in Fig. 4, the second group currently focuses on the presentation task, although the eventual application environment may also be that of an interactive system.

In the first group of systems, the pointing actions and natural language utterances refer to visual presentations provided by the system builders, whereas the other systems include a component for the generation of graphical displays. All the systems in Fig. 4 combine natural language and graphics, but only systems that generate both forms of presentation from a common representation can address the problem of automatic media choice and coordination. Although both SAGE and FN/ANDD include graphics design components, they have not yet dealt with the problem of media coordination. SAGE creates multimodal explanations of changes in the results generated by quantitative modeling systems (see [Roth et al. 88]). The ANDD (Automated Network-Diagram Designer) system automatically designs network diagrams from a list of relations and a basic network model, whereas the FN system generates natural language expressions describing certain attributes of a particular object shown in the diagrams (see [Marks&Reiter90]).

System	Media	Generation of Graphics	Media Coordination	Current Visual Domain	Project team
XTRA	NL, graphics, pointing	manual	NL and pointing	tax forms	Wahlster et al. (Saarbrücken)
CUBRICON	NL, graphics, pointing	manual	NL and pointing	geographic maps	Shapiro/Neal et al. (Buffalo)
ALFresco	NL, video, pointing	manual	NL and pointing	frescoes	Stock et al. (Trento)
SAGE	NL, graphics	automatic	Not yet	business charts	Roth et al. (CMU)
FN/ANDD	NL, graphics	automatic	Not yet	network diagrams	Marks/Reiter et al. (Harvard)
WIP	NL, graphics	automatic	NL and graphics	espresso machine	Wahlster et al. (Saarbrücken)
COMET	NL, graphics	automatic	NL and graphics	portable radio	Feiner/McKeown et al. (Columbia)

Fig.4. Current Research on Combining Natural Language, Graphics and Pointing

The WIP (see [Wahlster et al. 89]) and COMET (see [Feiner&McKeown 89]) projects share a strong research interest in the coordination of text and graphics. They differ from the rest of the systems in that they deal with physical objects (espresso-machine, radio vs. forms, maps, charts, diagrams) that the user can access directly. For example, in the WIP project we assume that the user is looking at a real espresso-machine and uses the presentations generated by WIP to understand the operation of the machine. Likewise COMET generates directions for the maintenance and repair of a portable radio using text coordinated with 3D graphics. In spite of many similarities, there are major differences between COMET and WIP, e.g., in the systems' architecture. While during one of the final processing steps of COMET the media layout component combines text and graphics fragments produced by media-specific generators, in WIP a layout manager interacts with a presentation planner before text and graphics are generated, so that layout considerations can influence the early stages of the planning process and constrain the media-specific generators.

2 The Architecture of WIP

The architecture of the WIP system guarantees a design process with a large degree of freedom that can be used to tailor the presentation to suit the specific context. During the design process a presentation planner and a layout manager orchestrate the mode-specific generators and the document history handler (see Fig. 5) provides information about intermediate results of the presentation design that is exploited in order to prevent disconcerting or incoherent output. This means that decisions of the language generator may influence graphics generation and that graphical constraints may sometimes force decisions in the language production process.
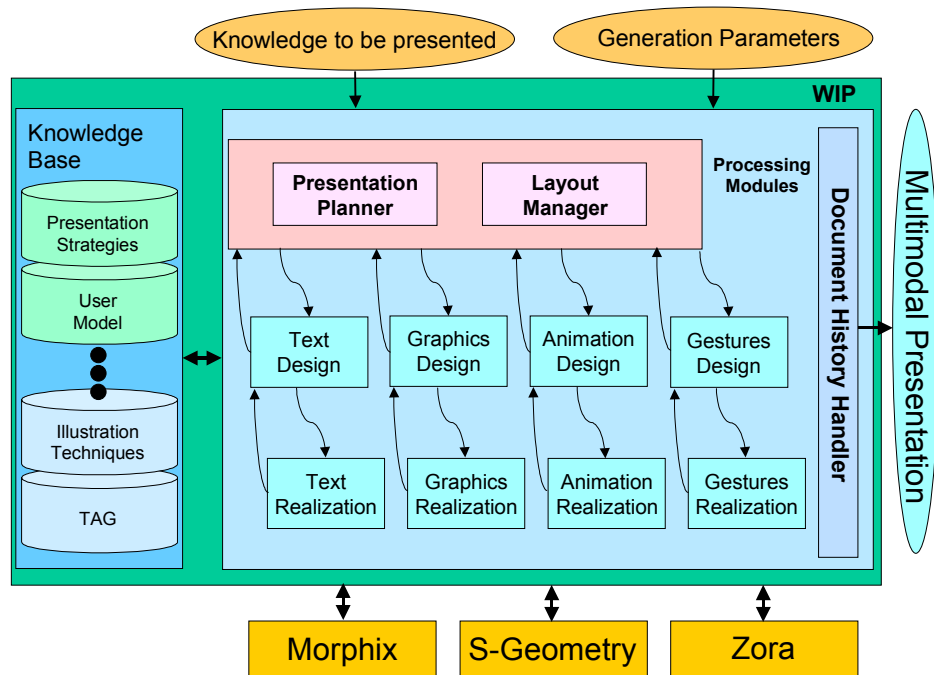
Fig. 5. Architecture of the WIP Project

Fig. 5 shows a sketch of WIP's current architecture used for the generation of illustrated documents. Note that WIP includes two parallel processing cascades for the incremental generation of text and graphics. In WIP, the design of a multimodal document is viewed as a non-monotonic process that includes various revisions of preliminary results, massive replanning or plan repairs, and many negotiations between the corresponding design and realization components in order to achieve a fine-grained and optimal division of work between the selected presentation modes.

2.1 The Presentation Planner

The presentation planner is responsible for contents and mode selection. A basic assumption behind the design of WIP is that not only the generation of text, but also the generation of multimodal documents can be considered as a sequence of communicative acts which aim to achieve certain goals (cf. [André&Rist 90a]). As in textlinguistic studies (cf. [Van Dijk 80] and [Mann&Thompson 88]), we distinguish between *main* (MA) and *subsidiary acts* (SA). Main acts convey the kernel of the message. Subsidiary acts serve to support the main acts. In particular, they ensure that necessary preconditions are satisfied, they enhance the effect of the main act or they resolve ambiguities after anticipating the addressee's understanding processes. Since main and subsidiary acts can, in turn, be composed of main and subsidiary acts, we get a hierarchical act structure. While the root of the hierarchy generally corresponds to a complex communicative act such as describing a process, the leaves are elementary acts, i.e., *speech acts* (cf. [Searle 61]) or *pictorial acts* (cf. [Kjorup 78]).

The structure of a document is, however, not only determined by its act structure, but also by the role acts play in relation to other acts. E.g., one can verbally request an addressee to

carry out an action and show with a picture how it should be done. In this example, the act of showing the picture (subsidiary act) is subordinated to the requesting act which conveys the kernel of the message (main act). If the addressee cannot figure out a relation between these acts, the document appears incoherent. Fig. 6 shows a slightly simplified version of the act structure of the instruction sequence in Fig. 3.
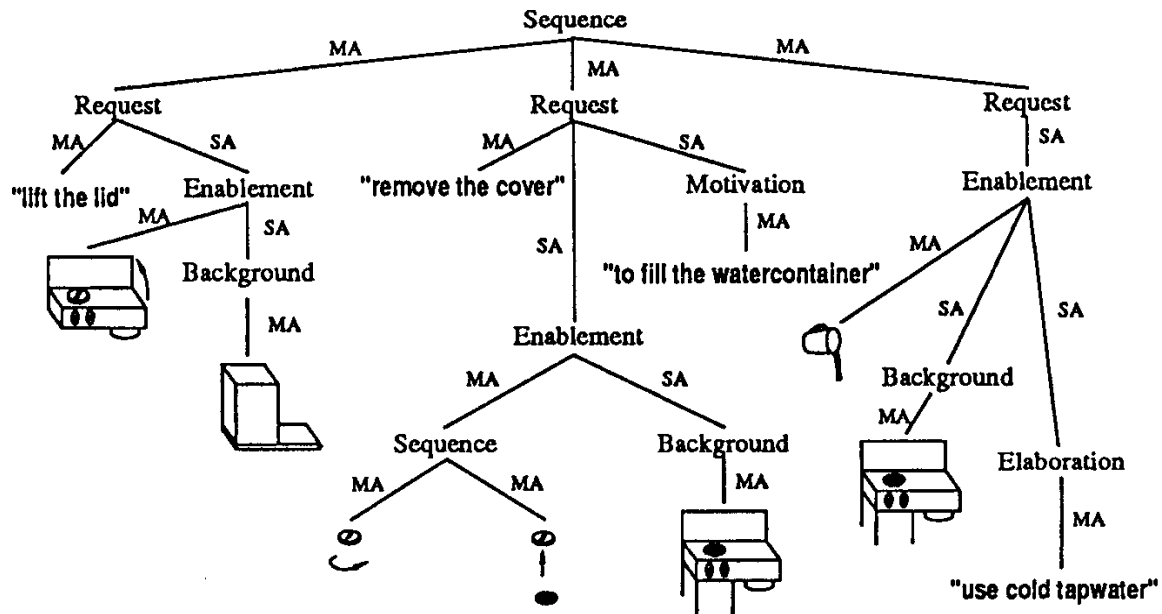

Fig.6. The Action Structure of the Sample Document

For the automatic synthesis of illustrated documents, we have designed presentation strategies that refer to both text and picture production. To represent the strategies, we follow the approach proposed by Moore and colleagues (cf.[Moore&Paris 89]) to operationalize RST-theory for text planning.

The strategies are represented by a name, a header, an effect, a set of applicability conditions and a specification of main and subsidiary acts. Whereas the header of a strategy indicates which communicative function the corresponding tail document part is to fill, its effect refers to an intentional goal[2]. The applicability conditions specify when a strategy may be used and put restrictions on the variables to be instantiated. The kernel of the strategies form the main and subsidiary acts. E.g., the strategy below can be used to enable the identification of an object shown in a picture (for further details see [André&Rist 90b]). Whereas graphics should be used to carry out the main act, mode decisions for the subsidiary acts are open.

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[2] In [Moore&Paris 89], this distinction between header and effect is not made because the effect of their strategies may be an intentional goal as well as rhetorical relation.

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Name:

    Enable-Identification-by-Background design

Header:

  (Provide-Background P A ?x ?px ?picture GRAPHICS)

Effect:

    (BMB P A (Identifiable A ?x ?px ?picture) )

Applicability Conditions:

  (AND (Bel P (Perceptually-Accessible A ?x ))

        (Bel P (Part-of ?x ?z)))

Main Acts:

    (Depict P A (Background ?z) ?pz ?picture)

Subsidiary Acts:

(Achieve P (BMB P A (Identifiable A ?z ?pz ?picture)) ?mode)
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For the automatic generation of illustrated documents, the presentation strategies are treated as operators of a planning system (cf. [André&Rist 90a] and [André&Rist 90b]). During the planning process, presentation strategies are selected and instantiated according to the presentation task. After the selection of a strategy, the main and subsidiary acts are carried out unless the corresponding presentation goals are already satisfied. Elementary acts, such as 'Depict' or 'Assert', are performed by the text and graphics generators.

2.2 The Layout Manager

The main task of the layout manager is to convey certain semantic and pragmatic relations specified by the planner by the arrangement of graphic and text fragments received from the mode-specific generators, i.e., to determine the size of the boxes and the exact coordinates for positioning them on the document page. Therefore, we use a grid-based approach as an ordering system for efficiently designing functional (i.e., uniform, coherent, and consistent) layouts (cf. [Müller-Brockmann 81]). This method is also used by Beach for low-level table layout (cf. [Beach 85]) and in the GRID system for automating display layout (cf. [Feiner 88]).

The layout process is carried out in two phases with different levels of detail. In the first phase, a draft version of a high-level page layout is produced. Since at that stage of the process neither the text generator nor the graphics generator has produced any output, the layout manager only has information about the contents, the act structure and the selected mode combination which is available via the document history handler. Thus, the layout manager uses default assumptions to determine a skeletal version of an initial page layout based on uninstantiated text and graphic boxes. As soon as a generator has supplied any output, the corresponding box is instantiated and the incremental process of low-level layout planning can start. Then the layout manager has to position this box on the grid considering design restrictions. As the example below shows, design constraints or visual unbalances in the output presentation can require a total revision of the skeletal layout or in the worst-case even a change of the contents.

A central problem when automatically designing layout is the representation of design-relevant knowledge. According to [Borning&Duisberg 86], constraint networks seem to be a natural formalism to declaratively incorporate aesthetic knowledge into the geometric layout process. Layout constraints can be classified as *semantic, geometric* and *topological,* and *temporal.* Semantic constraints essentially correspond to coherence relations, such as sequence and contrast, and can be easily reflected through specific design constraints. They describe perceptual criteria concerning the organization of the boxes, such as the sequential ordering (horizontal or vertical layout), alignment, grouping, symmetry or similarity.

When using constraints to represent layout knowledge, one often wants to prioritize the constraints in those which must be required and others which are preferably held[3]. A powerful way of expressing this layout feature is to organize the constraints in a hierarchy by assigning a preference scale to the constraint selection of network. We distinguish between *obligatory, optional* and *default constraints.* The latter state default values, which remain fixed unless the corresponding constraint is removed by a stronger one. Since there are constraints that only have local effects, the constraint hierarchy has to be changed frequently. The constraint solver must therefore be able to add and remove constraints dynamically during runtime.
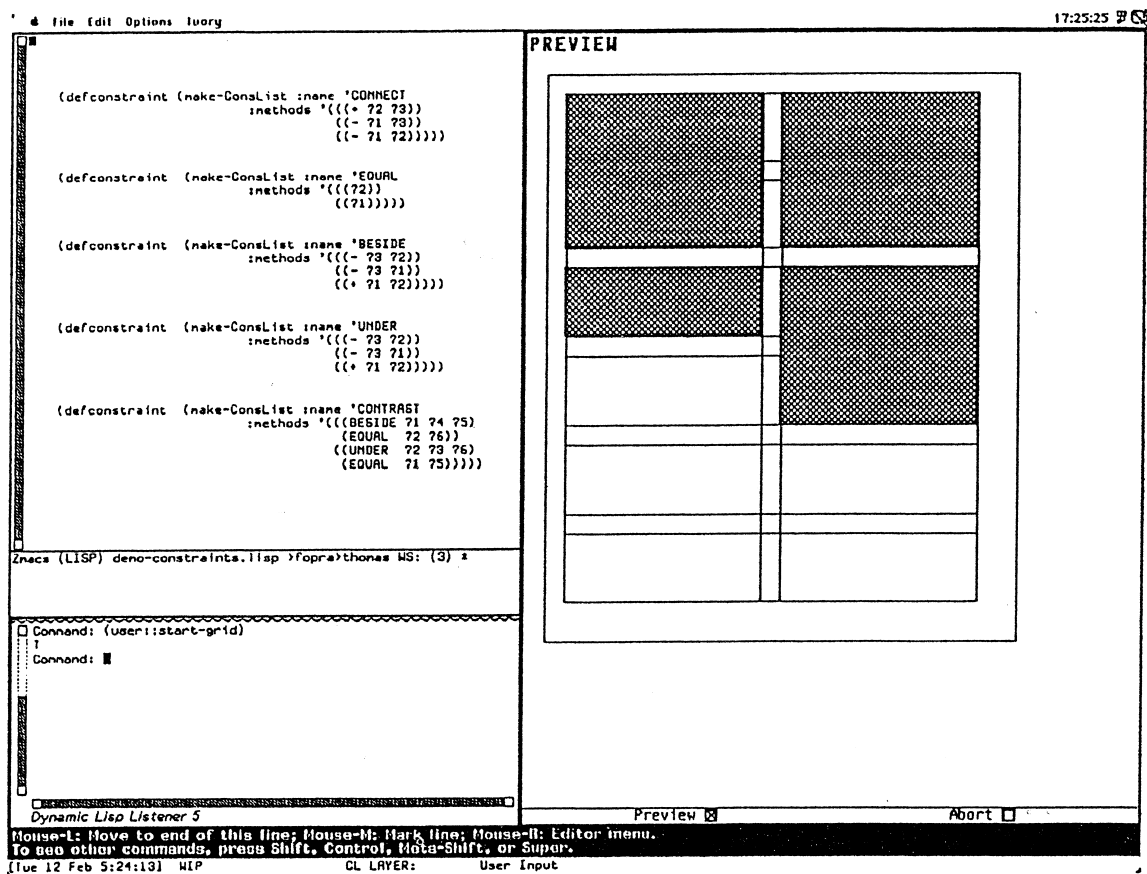

Fig. 7. Constraint definition and a preview showing a grid populated with two contrasting graphic boxes and the corresponding text boxes

A typical example of using a constraint hierarchy in geometric layout is the problem of leaving enough white space between two graphic boxes communicating a contrast. The adequate aesthetic criteria can be represented by three constraints of different strength: one

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[3] A theory of constraint hierarchies is described in [Borning et al. 89]. An incremental constraint hierarchy solver (cf. also the DeltaBlue algorithm [Freeman-Benson 90]) for WIP has been implemented by Wolfgang Maaß (cf. [Maaß 91]).

obligatory constraint that specifies that the distance between the boxes must be greater than zero and a disjunction of two optional constraints that the boxes are preferably aligned side by side or else below each other. To give an example of a typical compound constraint in the syntax of a constraint language, let's have a look at a section of the definition of the 'contrast'-constraint (cf. Fig. 7).

Since the ordering of the constraints in the definition is significant, the stronger constraints should preceed the weaker ones. E.g., according to the definition above, the layout manager will use a horizontal alignment in preference to a vertical one if a contrast-constraint has to be satisfied. For a detailed description of the layout manager see [Graf&Maaß 91].

2.3 The Text Generator

WIP's text generator is based on the formalism of tree adjoining grammars (TAGs). In particular, lexicalized TAGs with unification are used for the incremental verbalization of logical forms produced by the presentation planner (cf. [Harbusch 90], [Schauder 90]). The grammar is divided into an LD (local dominance) and an LP (linear precedence) part so that the piecewise construction of syntactic constituents is separated from their linearization according to word order rules (cf. [Finkler&Neumann 89]).

The text generator uses a TAG parser in a local anticipation feedback loop (see [Jameson&Wahlster 82]). The generator and parser form a bidirectional system, i.e., both processes are based on the same TAG. By parsing a planned utterance, the generator makes sure that it does not contain unintended structural ambiguities.

Since the TAG-based generator is used in designing illustrated documents, it has to generate not only complete sentences, but also sentence fragments such as NPs, PPs, or VPs, e.g., for figure captions, section headings, picture annotations, or itemized lists. Given that capability and the incrementality of the generation process, it becomes possible to interleave generation with parsing in order to check for ambiguities as soon as possible. Currently, we are exploring different domains of locality for such feedback loops and trying to relate them to resource limitations specified in WIP generation parameters. One parameter of the generation process in the current implementation is the number of adjoinings allowed in a sentence. This parameter can be used by the presentation planner to control the syntactic complexity of the generated utterances and sentence length. If the number of allowed adjoinings is small, a logical form that can be verbalized as a single complex sentence may lead to a sequence of simple sentences. The leeway created by this parameter can be exploited for mode coordination. For example, constraints set up by the graphics generator or layout manager can force delimitation of sentences, since in a good design, picture breaks should correspond to sentence breaks, and vice versa (see [McKeown&Feiner 90]).

2.4 The Graphics Generator

When generating illustrations of physical objects WIP does not rely on previously authored picture fragments or predefined icons stored in the knowledge base. Rather, we start from a hybrid object representation that includes a wireframe model for each object. Although these wireframe models, along with a specification of physical attributes, such as surface color or transparency, form the basic input of the graphics generator, the design of illustrations is regarded as a knowledge-intensive process that exploits various knowledge sources to achieve a given presentation goal efficiently. E.g., when a picture of an object is requested, we have to determine an appropriate perspective in a context-sensitive way (cf. [Rist&André 90]). In our approach, we distinguish between three basic types of graphical techniques. First, there are techniques to create and manipulate a 3D object configuration that serves as the subject of

the picture. E.g., we have developed a technique to spatially separate the parts of an object in order to construct an exploded view. Second, we can choose among several techniques that map the 3D subject onto its depiction. E.g., we can construct either a schematic line drawing or a more realistic looking picture using rendering techniques. The third kind of technique operates on the picture level. E.g., an object depiction may be annotated with a label (see Fig. 8), or picture parts may be colored in order to emphasize them. The task of the graphics designer is then to select and combine these graphical techniques according to the presentation goal. The result is a so-called design plan which can be transformed into executable instructions of the graphics realization component. This component relies on the 3D graphics package S-Geometry and the 2D graphics software of the Symbolics window system.
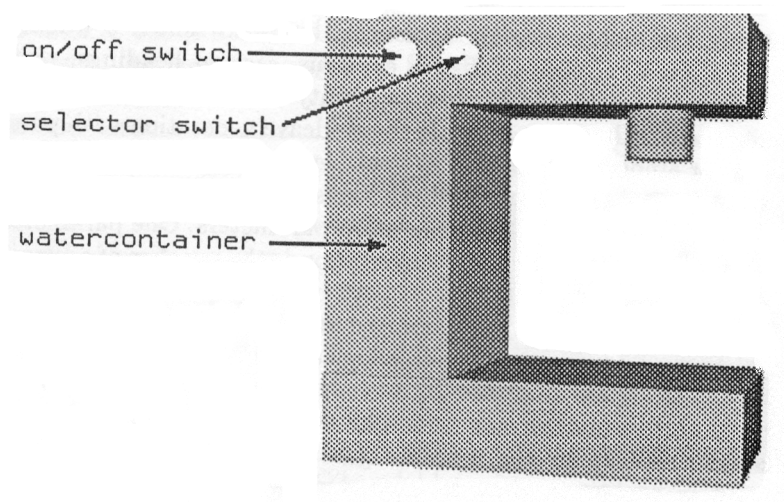

Fig. 8. Rendered Picture with Annotations

2.5 Tailoring Presentations to the Addressee

One advantage of the automated design of multimodal documents over the display of predefined presentations, e.g., in conventional hypermedia systems, is that in a knowledge-based presentation system like WIP the generated document can be tailored to a particular target group and presentation situation. As mentioned in section 1, one of the generation parameters of WIP is information about each individual target group or addressee. If the generated multimodal document is to be informative, understandable and effective in reaching the presentation goal specified in the input, the presentation system has to take into account factors like the addressee's prior knowledge about the domain and his level of expertise, i.e., the system has to exploit a user model (cf. [Wahlster&Kobsa 89]).

The user modeling component of WIP provides the presentation planner with information about the addressee that affects the content and structure of the generated document.

Let's discuss how WIP can use the assumptions about an addressee's domain knowledge contained in the user model to tailor the presentation to each addressee. Suppose that the system's present task is to generate a warning against opening the cover of the watercontainer too early after having used the espresso machine. If the system assumes that the addressee has no detailed knowledge about the preparation of espresso, some motivation should procede the warning itself. In our example, the extreme pressure and high temperature in the water-container are the main reasons for the warning. If the system assumes that the addressee does

not know the reasons for the extreme pressure and high temperature, it should introduce them before the warning.

In the presentation situation just described, a text like (1) would be communicatively adequate.

(1)   *Espresso is coffee prepared in a special machine from finely ground coffee beans, through which steam under high pressure is forced. Because of the extreme pressure and high temperature, you should wait for at least two minutes after switching off the machine before you open the cover of the watercontainer.*

In the opposite case, when the system assumes that the addressee has already used another type of espresso machine, the system can just verbalize a warning like (2). Note that (2) would be pragmatically incoherent (cf. [Bandyopadhyay 90]) for the first type of addressee introduced above, since the reason for the warning would remain unclear to him.

(2)   *Wait at least for two minutes after switching off the machine before you open the cover of the watercontainer.*

It is obvious that WIP's user model should not only constrain the text planning, but also guide other processes like media choice, gesture generation (see [Wahlster 91]), and the synthesis of graphics.

3 Conclusions

In this paper, we presented a computational model for the generation of multimodal communications. We showed how the knowledge-based presentation system WIP coordinates graphics and text in such a way that they complement each other in an illustrated document. The basic principles underlying the WIP project are that the generation of all constituents of a multimodal presentation should start from a common representation and that the design of a text-picture sequence can be modeled as a non-monotonic planning process. We showed how WIP's presentation planner and layout manager orchestrate the text and graphics generator during the design process.

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